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| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | ATTORNEYS DOCKET NUMBER Docket No.: 4296/PCT |
| INTERNATIONAL APPLICATION NO PCT/JP00/04435 | INTERNATIONAL FILING DATE 03. July, 2000 (03.07.00) | U.S. APPLICATION NO (if known, see 37 CFR 1.53) (not known to be assigned) 10/019416 PRIORITY DATE CLAIMED 09. July, 1999 (09.07.99) |
| TITLE OF INVENTION Aluminum Alloy, Aluminum Alloy Foil, Container and Method of Preparing Aluminum Alloy Foil | | |
| APPLICANT(S) FOR DO/EO/US Akinori RO; Masaaki ABE; Yoshiki HASHIZUME | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | |
| 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371 3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1) 4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)) 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau) b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | |
| Items 11. to 16. below concern document(s) or information included: | | |
| 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98, Form PTO-1449, 3 references. 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 14. <input type="checkbox"/> A substitute specification. 15. <input type="checkbox"/> A change of power of attorney and/or address letter. 16. <input checked="" type="checkbox"/> Other items or information: a. a Return Receipt Postcard; b. Form PTO-2038 (Credit Card Payment Form); c. 2 Figs. on 2 sheets of formal drawings; d. a copy of International Search Report with English Version. | | |
| NOTE: The application has been assigned to TOYO ALUMINIUM KABUSHIKI KAISHA of 6-8, Kyutaro-machi 3-chome, Chuo-ku, Osaka-shi, Osaka, Japan. The Assignment is being submitted herewith for Recordal. | | |
| NOTE: The priority of Japanese Patent Application No. 11-195772 filed in Japan on July 9, 1999 is claimed under 35 U.S.C. §119. | | |

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| NON RESIDENT OF THE U.S. OR A U.S. NATIONAL Known 10/019416 INTERNATIONAL APPLICATION NO PCT/JP00/04435 | | ATTORNEY'S DOCKET NUMBER 4296/PCT | | | | | | | | | | | | | | | | | |
|---|--------------|---|--------------|--------------|------|--------------|-----------|---|-----------|--------------------|---------|---|-----------|---|--|--|------------|------|--|
| 17 <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$930.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or IPO \$890.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$760.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$670.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$26.00 ENTER APPROPRIATE BASIC FEE AMOUNT = | | CALCULATIONS PTO USE ONLY | | | | | | | | | | | | | | | | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | \$ 890.00 | | | | | | | | | | | | | | | | | |
| <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>CLAIMS</th> <th>NUMBER FILED</th> <th>NUMBER EXTRA</th> <th>RATE</th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>10 - 20 =</td> <td>0</td> <td>X \$18.00</td> </tr> <tr> <td>Independent claims</td> <td>3 - 3 =</td> <td>0</td> <td>X \$78.00</td> </tr> <tr> <td colspan="3">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td>+ \$260.00</td> </tr> </tbody> </table> | | CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | Total claims | 10 - 20 = | 0 | X \$18.00 | Independent claims | 3 - 3 = | 0 | X \$78.00 | MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + \$260.00 | \$ 0 | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | | | | | | | | | | | | | | | |
| Total claims | 10 - 20 = | 0 | X \$18.00 | | | | | | | | | | | | | | | | |
| Independent claims | 3 - 3 = | 0 | X \$78.00 | | | | | | | | | | | | | | | | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + \$260.00 | | | | | | | | | | | | | | | | |
| TOTAL OF ABOVE CALCULATIONS = | | \$ 890.00 | | | | | | | | | | | | | | | | | |
| Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28). | | \$ 0 | | | | | | | | | | | | | | | | | |
| SUBTOTAL = | | \$ | | | | | | | | | | | | | | | | | |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | \$ 0 | | | | | | | | | | | | | | | | | |
| TOTAL NATIONAL FEE = | | \$ 890.00 | | | | | | | | | | | | | | | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | \$ 40.00 | | | | | | | | | | | | | | | | | |
| TOTAL FEES ENCLOSED = | | \$ 930.00 | | | | | | | | | | | | | | | | | |
| | | Amount to be: refunded \$ charged \$ | | | | | | | | | | | | | | | | | |
| Form PTO-2038 (Credit Card Payment Form) a. <input checked="" type="checkbox"/> XXXXX in the amount of \$ <u>930.00</u> to cover the above fees is enclosed. | | | | | | | | | | | | | | | | | | | |
| b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | | | | | | | | | | | | | | |
| c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any/deficiency in or additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-0507</u> . XXXXXX COPY OF THIS SHEET IS ENCLOSED | | | | | | | | | | | | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | | | | | | | | | | | | | | | |
| SEND ALL CORRESPONDENCE TO | | | | | | | | | | | | | | | | | | | |
| CUSTOMER NO.: 021553 | | SIGNATURE <u>Walter F. Fasse</u> 12/21/01 | | | | | | | | | | | | | | | | | |
| USPS EXPRESS MAIL EL 871 050 090 US DECEMBER 21 2001 | | NAME <u>Walter F. Fasse</u> 36132 REGISTRATION NUMBER | | | | | | | | | | | | | | | | | |